



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mikio WATANABE et al.

Art Unit: 1625

Serial No : 10/070,435  
(National Stage of PCT/JP00/006399)

Examiner: Unknown

I.A. Filed : September 20, 2000

For : METHOD FOR PRODUCING CYCLIC LACTIC ACID OLIGOMER

**INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir :

Pursuant to the duty of disclosure set forth in 37 C.F.R. § 1.56 and in accordance with the provisions of 37 CFR §§ 1.97 and 1.98, Applicants hereby bring the following information to the attention of the Examiner:

EP 402676 A2;

U.S. Patent No. 5,006,637 to Guggenheim et al.; and

Japanese Patent Publication (Kokai) No. 9-227388 (and an English language abstract thereof), which is cited and discussed in the specification, beginning at page 1.

Copies of the foregoing documents are provided herewith for the Examiner's consideration.

Further pursuant to the duty of disclosure set forth in 37 CFR § 1.56 and in accordance with the provisions of 37 CFR §§ 1.97 and 1.98, Applicants hereby bring to the attention of the

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Examiner the International Search Report and International Preliminary Examination Report issued in connection with family member International Application No. PCT/JP00/06399, and the following documents cited therein:

JP 6-306264 (and an English language abstract thereof); and

EP 739889 A1 (and family member U.S. Patent No. 5,717,111 to Koehler et al.)

Copies of the International Search Report and International Preliminary Examination Report (along with English language translations thereof) were filed on March 19, 2002 with the present application. The Examiner is referred to the English language translations for the relevance indicated by the Japanese Examiner for the cited documents with respect to the claims of the International Application.

Each document is listed on the attached PTO-1449 form to permit the Examiner to indicate consideration thereof. The Examiner is respectfully requested to consider the documents cited above and to confirm such consideration by initialing in the appropriate spaces on the PTO-1449 form and returning a copy of the initialed form to Applicants.

Further pursuant to the duty of disclosure set forth in 37 CFR § 1.56, Applicants hereby bring to the attention of the Examiner the following copending application:

"Method for Producing Cyclic Lactic Acid Oligomer," Appln. No. 10/070,436, in the names of M. WATANABE et al.

A copy of the above-referenced application is provided herewith for the Examiner's consideration.

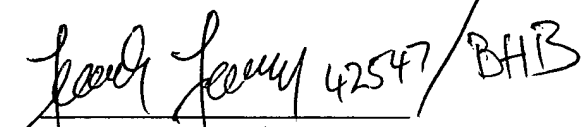
Applicant notes that an Office Action on the Merits has not issued in the instant

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application. However, if an Office Action on the Merits has issued, and is crossing this statement in the mail, the undersigned hereby authorizes the Commissioner to charge any fee necessary for consideration of this statement, including any payment under 37 C.F.R. 1.17(p), to be charged to Applicant's Deposit Account No. 19-0089.

Should there be any questions regarding the above or the present application, the Examiner is invited to contact the undersigned at the below-listed number.

Respectfully submitted,  
Mikio WATANABE et al.

  
Bruce H. Bernstein  
Reg. No. 29,027

September 19, 2002  
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Form PTO-1449		U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. P22119		Serial No. 10/070,435							
<div style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)</div> <div style="position: absolute; top: -60px; left: -80px;"><div>O I P E N J C S A</div><div>SEP 19 2002</div></div>				Applicant Mikio WATANABE et al.									
				Filing Date March 19, 2002		Group 1625							
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		DOCUMENT NUMBER			DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE				
		5	0	0	6	6	3	7	04/09/91	GUGGENHEIM et al.			
		5	7	1	7	1	1	1	02/10/98	KOEHLER et al.			
FOREIGN PATENT DOCUMENTS													
		DOCUMENT NUMBER				DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO			
		0	4	0	2	6	7	6	12/19/90	E.P.O.			
		9	-	2	2	7	3	8	8	09/02/97	JAPAN		
		6	-	3	0	6	2	6	4	11/01/94	JAPAN		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)													
		1	English Language Abstract of JP 9-227388.										
		2	English Language Abstract of JP 6-306264.										
EXAMINER												DATE CONSIDERED	
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.													